

1 UNITED STATES DISTRICT COURT
2 FOR THE SOUTHERN DISTRICT OF OHIO
3 WESTERN DIVISION
4 - - -

5 DENNIS COOK, :
6 Plaintiff, :
7 vs. :CASE NO. C-1-02-073
8 CITY OF NORWOOD, et al., :
9 Defendants. :

10 - - -
11 Deposition of MERRITT S. OLESKI, Ph.D.,
12 a witness herein, taken by the defendants as
13 upon cross-examination, pursuant to the Federal
14 Rules of Civil Procedure and pursuant to Notice
15 to Take Deposition as to the time and place and
16 stipulations hereinafter set forth, at 11590
17 Century Boulevard, Suite 112, Sharonville,
18 Ohio, at 10:00 A.M. on Thursday, March 27,
19 2003, before Darlene Anthony, RPR, a Registered
20 Professional Reporter and Notary Public within
21 and for the State of Ohio.
22 - - -

23 ORIGINAL
24
25

1 APPEARANCES:

2 On behalf of the Plaintiff:

3 ROBERT KELLY, ESQ.
4 Attorney at Law
4353 Montgomery Road
Cincinnati, Ohio 45212

5
6 On behalf of the Defendant, City of
Norwood:

7 ROBERT HILLER, ESQ.
8 of
Schroeder, Maundrell, Barbieri &
9 Powers
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10 11935 Mason Road
Cincinnati, Ohio 45249

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12 On behalf of the Defendant, Gary
Hubbard:

13 STEVEN C. MARTIN, ESQ.
14 of
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17
18 On behalf of the Defendant, Kevin
Cross:

19 JEFFREY A. WILLIS, ESQ.
20 of
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21 Suite 2300
Cincinnati, Ohio 45202-4091

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S T I P U L A T I O N S

It is stipulated by counsel for the respective parties that the deposition of MERRITT S. OLESKI, Ph.D., a witness herein, may be taken at this time by the defendants as upon cross-examination and pursuant to the Federal Rules of Civil Procedure, all other legal formalities being waived by agreement; that the deposition may be taken in stenotypy by the Notary Public-Court Reporter and transcribed by her out of the presence of the witness; that the transcribed deposition was submitted to the witness for examination and signature and that signature may be affixed out of the presence of the Notary Public-Court Reporter.

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I N D E X

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BY MR. HILLER:

Cross 5

Recross 34

BY MR. KELLY:

Cross 19

Recross 35

E X H I B I T S

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Defendant's Exhibit 1 10

1 MERRITT S. OLESKI, Ph.D.
2 of lawful age, a witness herein, being first
3 duly sworn, as hereinafter certified, was
4 examined and deposed as follows:

5 CROSS-EXAMINATION

6 BY MR. HILLER:

7 Q. Would you state your name?

8 A. Merritt S. Oleski.

9 Q. My name is Robert Hiller, and
10 along with Larry Barbieri we represent the City
11 of Norwood in this case. Could you state your
12 business address?

13 A. I have several but the one we're
14 at now will more than suffice. It's 11590
15 Century Boulevard, Springdale, Ohio 45246.

16 Q. You are a psychologist?

17 A. Yes, I am. Neuropsychologist and
18 clinical psychologist.

19 Q. Could you explain what your
20 educational background is?

21 A. Sure. I have a Ph.D. from
22 Vanderbilt University in psychology.

23 Q. And where did you go to school,
24 undergraduate?

25 A. University of Massachusetts.

1 Q. And what year did you graduate?

2 A. 1975.

3 Q. And what was your major?

4 A. I had two majors, philosophy and
5 psychology.

6 Q. Did you also get a Masters?

7 A. Master degree from University of
8 Dayton in 1978.

9 Q. And what was that in?

10 A. Clinical psychology.

11 Q. You may have already said this but
12 what is your Ph.D. in from Vanderbilt?

13 A. It's in psychology, and the two
14 areas within psychology are clinical psychology
15 and neuropsychology. You designate areas.

16 Q. And what year did you get your
17 Ph.D.?

18 A. 1982.

19 Q. And briefly, could you tell us
20 what your professional career has been since
21 you got your Ph.D.?

22 A. Did an internship at Ohio State in
23 neuropsychology, health psychology and pain
24 management. Worked at St. Elizabeth Medical
25 Center in Dayton for approximately two years --

1 something like '83 through '84. The end of '82
2 through '84 there. And I was a psychologist
3 doing those three things, neuropsychology,
4 clinical psychology and pain management.

5 Then I came down to the University
6 of Cincinnati as an assistant professor with
7 the School of Medicine, and I was in the
8 Department of Neurology attached to the
9 Division of Physical Medicine and
10 Rehabilitation, acronym PM&R, and I was there
11 maybe about a year, year and-a-half. And I did
12 the same kind of thing, the clinical
13 psychology, neuropsychology and pain
14 management.

15 Then I went over to Jewish
16 Hospital approximately the end of 1984,
17 beginning of 1985. I overlapped, actually.
18 Then I was there until the hospital closed at
19 the end of 1997, and I did two basic things, I
20 was the head of psychology on rehab, that's PMR
21 again, and from '95 through '97 I ran -- I was
22 the director of the pain program over there. I
23 had an outpatient practice at the same time.
24 And that's it, in essence.

25 Q. Is there a license that one in

1 your field needs to practice?

2 A. Yes, there is.

3 Q. And where are you licensed?

4 A. State of Ohio.

5 Q. And what is your license in?

6 A. Psychology.

7 Q. When did you become licensed in
8 Ohio?

9 A. 1982 or '3. Call it '83.

10 Q. And you're presently licensed in
11 Ohio?

12 A. Yes, I am.

13 Q. What is your present practice?

14 A. I do BWC disability
15 determinations. I assume that's part of the
16 reason I'm here. I do neuropsychology and I do
17 the chronic pain management.

18 Q. How long have you done disability
19 determinations for the Bureau of Workers'
20 Compensation, approximately?

21 A. Four years, four and a half years.
22 I'd say around four and a half years, something
23 like that. I may have done some earlier in the
24 '90s and '80s under a different basis, but I
25 recertified back around '96 or '7, somewhere

1 around there, and I've been doing them since
2 then. Do several a week.

3 Q. In general terms, when you do a
4 disability determination for BWC, you would get
5 your assignment directly from the Bureau?

6 A. They send me a schedule with one,
7 two, three names on it, and they send me
8 medical files, psychology files, and I review
9 those and see the person.

10 Q. And then write a report?

11 A. Yes, I do.

12 Q. In this case I believe you saw
13 Dennis Cook, who is the plaintiff in this case?

14 A. Yes, I did.

15 Q. And when was it that you saw him?

16 A. Apparently -- I say apparently
17 because I'm just going from the date here,
18 October 24th, the year 2000.

19 Q. And just to make sure it's clear,
20 he was sent to you for evaluation by the Bureau
21 of Workers' Compensation?

22 A. That is correct.

23 Q. He wasn't sent by the City of
24 Norwood or his own attorney?

25 A. No, not that I'm aware of at all.

1 No, he was sent by the Bureau.

2 Q. And I believe you are looking at a
3 copy of your report?

4 A. Yes, I am.

5 Q. I'm going to hand you another copy
6 that I've marked as Defendant's Exhibit 1, and
7 could you briefly tell me that that is?

8 A. This is an IME, Independent
9 Medical Evaluation, addressing requested claim
10 allowance in this case -- two claim allowances.
11 Do you want me to go in this in any kind of
12 detail?

13 Q. Not in that much detail.
14 Essentially, that would be --

15 A. This is my evaluation.

16 Q. This is a copy of the letter with
17 your evaluation of Mr. Cook that you sent back
18 to the Bureau of Workers' Compensation?

19 A. Yes, it is.

20 Q. And what is it dated?

21 A. I don't see the date on here. Oh,
22 there is a date when the Bureau stamped it, and
23 I can use that date I guess.

24 Q. Do you know, in your normal
25 practice, given the date of examination --

1 A. This would have been turned around
2 within a week or so.

3 Q. Within a week?

4 A. Actually, back then I was turning
5 this around even faster, so probably within
6 three days, literally.

7 Q. And would you say that that's a
8 fair and accurate copy of the report that you
9 sent to BWC?

10 A. It does look like that, yes.

11 Q. When Mr. Cook came into your
12 office, I assume you spoke with him?

13 A. Yes, I did.

14 Q. And had an opportunity to observe
15 him?

16 A. Yes, I did.

17 Q. What of significance did he tell
18 you?

19 A. Well, really reading from the
20 report, I need to do that -- can I quote from
21 my own report here?

22 Q. Sure.

23 A. I always ask people about their
24 job, to explain in their own words, and I
25 always like to have direct quotes to get the

1 flavor across. And I quote that he "Still has
2 thoughts of going to City Hall and blowing
3 their brains out. Still have suicidal
4 thoughts." Then he went on to describe that he
5 was in distress. A lot of distress,
6 emotionally.

7 Q. What observations did you make of
8 him?

9 A. I made the observation that he was
10 in distress. I'm going to flip over to --
11 okay. He presented with a very tense demeanor,
12 wandered off course, attention span and
13 concentration were impaired mostly by the press
14 of what he was feeling inside. I do remember
15 saying back here, under opinion number six on
16 the last page, I noted that he's clearly a
17 distressed individual, and he was one of the
18 most distressed individuals I've seen in this
19 process, which is why I made -- I took the
20 opportunity to make this note. Instead of just
21 saying, you know, not applicable, I did a
22 little commentary because I was struck by the
23 fact that he was clearly in need of some kind
24 of treatment.

25 And so I'm going to just read what

1 I wrote here. "This IW," which is injured
2 worker, "is clearly a distressed individual as
3 noted in the comments above. He presented a
4 medication list which included lithium
5 carbonate. The IW also reported that he sees a
6 psychiatrist (one time a month) and a therapist
7 (two times a month) as part of the management
8 of his psychological condition. This gentleman
9 appears to be a fragile personality, fighting
10 hard to maintain some sense of normalcy in his
11 life. Hopefully the IW will follow through
12 with his treatment plan for this serious
13 psychological condition."

14 Q. Just to make sure it's clear, you
15 only saw Mr. Cook on one occasion?

16 A. This is true.

17 Q. And it was not to treat him?

18 A. Strictly to evaluate.

19 Q. Were you able to formulate an
20 opinion concerning Mr. Cook's condition?

21 A. Yes. And as noted here in the
22 comments I just read, the lithium carbonate is
23 a big tip off. That's the medication -- the
24 original medication, I should say, not the only
25 one, the original medication used to treat

1 bipolar disorder, and indeed he was presenting
2 with a lot of the cardinal characteristics of a
3 bipolar disorder.

4 Q. Let me ask you, Doctor. Based
5 upon your education and experience and training
6 and the history that you took from Mr. Cook,
7 the conversation that you had with him and your
8 observations, were you able to formulate an
9 opinion as to his diagnosis to a reasonable
10 degree of medical or psychological probability?

11 A. Yes.

12 Q. And what was your opinion?

13 A. My opinion was that he was bipolar
14 affective disorder at a severe level.

15 Q. And in more laymen's terms, what
16 is that?

17 A. Bipolar disorder is a disorder in
18 which a person may, but not necessarily, has to
19 experience both ends of a continuum of being
20 what is commonly referred to as manic and then
21 the other end of the continuum which would be a
22 depression, which can be vegetative in nature,
23 which you are literally closed down. In fact,
24 it can play out in more subtle ways but I think
25 for purposes of evaluation, think of it as a

1 continuum, and that the person can go to either
2 end of the continuum. And some bipolar are
3 only at the depressive end and never experience
4 the manic end, and vice versa.

5 Q. Did you formulate an opinion as to
6 whether Mr. Cook was disabled and unable to
7 work as of the time of your evaluation?

8 A. It would seem to me, based on --
9 I'm pausing here just for a second because I
10 don't think that was strictly asked of me in
11 the six questions. I want to go back to the
12 report here. Your question is was he
13 psychologically disabled?

14 Q. Yes.

15 A. Just by dint of his condition?
16 Just the fact of his condition, regardless of
17 origin or anything else?

18 Q. Right.

19 A. I didn't address that directly in
20 the report that I recall. I may have, and I'm
21 looking here, but looking over the report, I
22 would say that he would have a very difficult
23 time in a normal work setting, just because of
24 problems with attention and concentration.
25 Also the emotional stability, depending on

1 where his treatment was right then, and how
2 successful he was responding to the medication
3 would also be a big variable, directly
4 affecting his ability to make it through a task
5 that was assigned to him.

6 Q. Did you have information either
7 from the materials that were provided to you or
8 from the conversation you had with Mr. Cook as
9 to what his particular job was?

10 A. I believe, from looking over one
11 of the prior files, he was a street sweeper.
12 Yes, that's what I wrote. I summarized the
13 psych files provided to me, and I'm reading it
14 right here.

15 Q. The bipolar disorder that you
16 diagnosed --

17 (A brief interruption was had.)

18 (A brief recess was had.)

19 (The record was read by the court
20 reporter.)

21 Q. We got a cell phone call and took
22 a break. I'm going to strike the beginning of
23 the last question and ask it again. The
24 bipolar disorder that you diagnosed, do you
25 have an opinion, again based upon your training

1 and experience and education, as to the origin
2 of that condition?

3 A. Yes. Bipolar disorder is a
4 biological condition and we believe genetically
5 based. In other words, you're pre-programmed
6 for it. That's it in essence.

7 Q. So that would be your opinion with
8 respect to Mr. Cook as well?

9 A. Yes, it would be.

10 Q. If I understand your testimony
11 then, it is your opinion that Mr. Cook's
12 bipolar disorder was not caused by his
13 employment?

14 MR. KELLY: Objection.

15 A. Do I answer?

16 Q. Yes.

17 A. That would be true, the bipolar
18 disorder was not caused by the incident.

19 Q. And let me ask this same question
20 again. These questions are to be answered to a
21 reasonable degree of psychological probability
22 based upon your education and training and
23 experience. How about aggravation from work?
24 Would there be any aggravation of the bipolar
25 disorder?

1 A. That is possible. You can have an
2 exacerbation or an aggravation of a preexisting
3 condition. To determine that, you really need
4 a baseline, which particularly in this kind of
5 a circumstance, is sometimes hard to piece
6 together just from the information provided by
7 the injured worker.

8 Q. So you say that it's possible but
9 it's not your opinion that it's probable?

10 MR. KELLY: Objection.

11 A. I would have to take that on a
12 case by case basis. That would be -- anything
13 can be exacerbated or aggravated; almost
14 anything. It's just a question of then whether
15 that's clinically significant or whether that's
16 falling in a category of yes, it occurred, but
17 it's not significantly changed the person in
18 their life with regard to their diagnosis.

19 Q. Doctor, looking at the third page
20 of the exhibit, which is your report back to
21 the BWC, number five, you were, I believe,
22 asked about aggravation.

23 A. Uh-huh.

24 Q. Do you recall why you put "not
25 applicable"?

1 A. Whenever I put that, it's because
2 to the best of my ability to determine in the
3 interview setting, I can't determine or can't
4 make the case for that, that's what occurred.
5 That there was an aggravation of something
6 preexisting. Because that implies I would know
7 the prior level and now this level represents
8 something clinically significant above that,
9 based on the prior level.

10 MR. HILLER: Doctor, I don't have
11 any other questions. Thank you very much.

12 MR. MARTIN: I don't have any
13 questions. I'll pass the witness to whoever is
14 next.

15 MR. WILLIS: I have no questions.

16 CROSS-EXAMINATION

17 BY MR. KELLY:

18 Q. Dr. Oleski, I just wanted to ask
19 you a couple questions. You stated that you
20 would need a baseline --

21 A. Yes.

22 Q. -- to determine if his condition
23 was exacerbated?

24 A. Uh-huh, yes.

25 Q. What factors would exacerbate a

1 bipolar condition in a patient?

2 A. I'm pausing here for a minute
3 because this is a real hair splitter for me
4 from a diagnostical standpoint. The reason
5 being, you're talking about a condition that is
6 biologically based and which can be pretty
7 extreme in terms of forward expression of
8 behavior. People can do very dramatic things,
9 can present in a very histrionic manner, and it
10 would take something major enough that you
11 could take what might potentially be -- I can't
12 say that he could potentially be already an
13 elevated level and push that even higher to
14 some other level that would be deemed
15 clinically significant, and now we're getting
16 pretty subjective. Unless I say, well, the
17 person was controlled, or at least functional,
18 until they get to the point where they had an
19 exacerbation, and so whatever their background
20 symptomatology was -- for example, some people
21 experience a lot of sleeplessness, some people
22 are very irritable with this, some people are
23 just very erratic in their behavior, but then it
24 went to a new level where, for example, the
25 person was not functional. They were driving